## Exam — Session 2

Duration: 2h.

Documents, cell phones, computers, tablets, pocket calculators, etc., are not allowed. The text contains 4 pages in total, and the 2 exercices are independent from each other.

# 1 A simple model for a superconductor

Superconductors are materials whose resistivity abruptly vanishes below a critical temperature  $T_c$ . We propose a simple model to describe these superconducting properties. We assume that the free electrons of the conductor have a mass  $m_e$ , are close in energy to the Fermi level, and have the possibility to associate in pairs to form a bound state known as a *Cooper pair*. These Cooper pairs behave like particles with mass  $2m_e$  and spin s = 1.

The first two parts of this exercise recall some general properties of the perfect gases of fermions and bosons. The third part describes the superconducting phase.

#### 1.1 Fermion gas: General questions

- (a) Which statistical distribution is appropriate to describe the free electron gas of a conductor? Explain your answer.
- (b) Recall the expression for the Fermi-Dirac distribution  $n_{\rm FD}(\epsilon)$  and give its shape as a function of energy  $\epsilon$  for different temperatures. Give without demonstration the expression for the energy  $\epsilon_{\bf k}$  of a quantum state with wave vector  ${\bf k}$ ?
- (c) Consider an electron gas in dimension 3, occupying a volume V at a temperature T. Find the expression for the density of states  $\rho$ , as a function of k, then as a function of energy.
- (d) Assume that we can place ourselves in the limit T = 0. What is the chemical potential in this approximation? Give the relation for calculating the number of electrons in the system, and derive the expression for the Fermi energy  $E_{\rm F}$  as a function of the electron density  $n_{\rm e}$ . In what temperature range is the T = 0 approximation correct (give a numerical estimate for a free electron gas with mass  $m_{\rm e} = 9.1 \cdot 10^{-31}$  kg).

### 1.2 Boson gas: General questions

Consider a perfect gas of N bosons of mass m and spin 1 in contact with a thermostat at a temperature T.

- (a) Recall the expression for the Bose-Einstein distribution  $n_{\text{BE}}(\epsilon)$  and sketch its shape as a function of  $\epsilon$  for different temperatures. What condition must the chemical potential satisfy?
- (b) Sketch qualitatively the curve of the chemical potential as a function of T. The temperature at which the chemical potential cancels out will be denoted  $T_{\rm B}$ . Explain qualitatively what happens for  $T < T_{\rm B}$ . One reminds that  $T_{\rm B}$  is defined by the relation

$$\frac{N}{2V} \left(\frac{h^2}{2\pi m k_{\rm B} T_{\rm B}}\right)^{3/2} = 2.612 \ . \label{eq:2.612}$$

#### 1.3 Superconductivity

Superconductivity is interpreted in a simple model as the possibility for two electrons near the Fermi level to form a bound state called a *Cooper pair*. We denote  $\Delta$  the binding energy of a pair and assume that the energy of a Cooper pair of wave vector **k** is given by

$$E = 2E_{\rm F} - \Delta + \frac{\hbar^2 k^2}{4m_{\rm e}},\tag{1.1}$$

where  $E_{\rm F}$  is the Fermi energy of a gas of N electrons.

So we have the coexistence of a gas of free electrons (1) and a gas of Cooper pairs (2). It is assumed that the free electron gas is composed of  $N_1$  electrons of chemical potential  $\mu_1$  at temperature  $T_1$ , and that the Cooper pair gas is composed of  $N_2$  pairs of electrons of chemical potential  $\mu_2$  at temperature  $T_2$ . Interactions between free electrons, between Cooper pairs, and between free electrons and Cooper pairs are all neglected.

- (a) Discuss the Cooper pair energy expression (1.1). Why do we have to choose  $\Delta > 0$ ?
- (b) Explain why the Cooper pair gas can be treated as a perfect gas of bosons.
- (c) Write the relations between  $T_1$ ,  $T_2$ ,  $\mu_1$  and  $\mu_2$  at thermodynamic equilibrium.
- (d) Carefully justify that Bose-Einstein condensation for Cooper pairs occurs when  $\mu_2 = 2E_F \Delta$ . What is the value of the chemical potential  $\mu_1$ ?
- (e) Assuming that the free electrons can be treated as a degenerate Fermi gas, and using the density of state expression given in section 1.1 above (entitled "Fermion gas: General questions"), show that the maximum number of free electrons  $N_{\rm e}$  is given by

$$N_{\rm e} = N \left( 1 - \frac{3\Delta}{4E_{\rm F}} \right).$$

(f) What is the number of Cooper pairs? Deduce that the Bose condensation temperature  $T_{\rm B}$  of the Cooper pairs is given by

$$2.612 \left(\frac{4\pi m k_{\rm B} T_{\rm B}}{h^2}\right)^{3/2} = \frac{3}{8} \frac{\Delta}{E_{\rm F}} \frac{N}{V}.$$

## 2 Ising model and Bethe-Peierls approximation

We consider a *d* dimensional Ising model consisting of  $N \gg 1$  Ising spins  $s_i = \pm 1$  at temperature *T*, arranged at the nodes of a hypercubic lattice. Let us denote  $\beta = 1/k_{\rm B}T$ , where  $k_{\rm B}$  is the Boltzmann constant. Let *h* be the external magnetic field (in energy units) and consider only interactions between nearest neighbors. The Hamiltonian of the system can be written as

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} s_i s_j - h \sum_{i=1}^N s_i, \qquad (2.1)$$

where J > 0, and where  $\sum_{\langle i,j \rangle}$  denotes a sum over all nearest neighbor pairs of spins  $(s_i, s_j)$ .

#### 2.1 Mean field approximation

- (a) What do the different terms of the Hamiltonian (2.1) correspond to? We note z the number of first neighbors of a site. Express z as a function of the dimension of the space d.
- (b) We neglect in this question the interactions between the spins. Calculate the partition function and the free energy of the system. Deduce the mean magnetization  $m = \langle s \rangle$  per site. Plot m as a function of h.

- (c) Spin interactions are now taken into account. Show that the effective field seen by a spin in the mean-field approximation is  $h_{\text{eff}} = h + h_m$ , where  $h_m = zJm$  is called the molecular field. Briefly justify this name. Show that the mean magnetization  $m = \langle s \rangle$  per site is the solution of a self-consistent equation, which will be explicitly written.
- (d) Assume a vanishing external magnetic field (h = 0). Show that there is a paramagneticferromagnetic phase transition for a critical temperature  $T_c$ , whose value will be given in terms of the various parameters of the problem. What does the approximation predict for the case d = 1? For the case d = 2?

### 2.2 Bethe-Peierls approximation

To improve the results of the mean-field approximation, Bethe and Peierls proposed a somewhat more sophisticated approach in 1935. Consider a subsystem C of the spin lattice, consisting of a spin denoted  $s_0$  and its ring of nearest neighbors denoted  $s_i$  with  $i = 1, \ldots, z$ . We note  $n_+$  the number of spins in this ring that are in the state  $s_i = +1$  and  $n_-$  the number of spins in the  $s_i = -1$  state.

The Hamiltonian of the system is described as follows:

- On the one side, the interactions between the spin  $s_0$  and its nearest are described exactly.
- On the other side, the interactions between the spins  $s_i$  (i = 1, ..., z) and the rest of the system, are described as in the mean field, by a molecular field  $h_m$ , which we do not know a priori.
- (a) Give a simple relationship between  $n_+, n_-$  and z.
- (b) Show that the energy  $\mathcal{H}_{\mathcal{C}}$  of the subsystem  $\mathcal{C}$  can be expressed as  $-\beta \mathcal{H}_{\mathcal{C}}(n_+, n_-, s_0) = (H + H_m + Ks_0)(n_+ n_-) + Hs_0$ , where we have defined the dimensionless quantities  $K = \beta J, H = \beta h$  and  $H_m = \beta h_m$ .
- (c) We define the joint probability  $P(s_0 = s, n_+ = n)$  which allows to keep in the probability reference to both  $s_0 = s$  and  $n_+ = n$ . Calculate the partition function  $Z_{\mathcal{C}}$  from  $\mathcal{C}$  and show that we have

$$P(s_0 = s, n_+ = n) = \frac{z!}{n!(z-n)!} \frac{1}{Z_{\mathcal{C}}} e^{(H+H_m+Ks)(2n-z)+Hs}.$$
(2.2)

(d) Show that  $\langle s_0 \rangle = \sum_{n=0}^{z} [P(s_0 = +1, n_+ = n) - P(s_0 = -1, n_+ = n)].$ 

- (e) Now we want to express the average magnetization at a site in terms of the joint probabilities  $P(s_0 = s, n_+ = n)$ . We define the quantity S as  $S = \sum_{i=1}^{z} s_i$ .
  - (i) Show that  $\langle S \rangle = z \langle s_0 \rangle$ . Justify carefully.
  - (ii) Express S as a function of  $n_+$  and z. Derive an expression for the mean of S ( $\langle S \rangle$ ) as a function of  $P(s_0 = s, n_+ = n)$  (do not attempt to compute the discrete sum that appears in the result).
  - (iii) Deduce from the two previous relations the equation

$$z\sum_{n=0}^{z} P(s_0 = 1, n_+ = n) = \sum_{n=0}^{z} n \left[ P(s_0 = +1, n_+ = n) + P(s_0 = -1, n_+ = n) \right].$$
(2.3)

(f) Using the equation (2.3) and the expression for the joint probabilities (2.2), show that the molecular field  $H_m$  must satisfy the relation

$$\frac{H_m}{z-1} = \frac{1}{2} \ln \left( \frac{\cosh\left(H_m + H + K\right)}{\cosh\left(H_m + H - K\right)} \right).$$

(g) It is now assumed that the external magnetic field is zero (H = 0). Graphically discuss the solutions of the self-consistent equation for the molecular field  $h_m$ . In particular, show that there is a phase transition for a critical temperature  $T_c$ , given by

$$\frac{k_{\rm B}T_{\rm c}}{J} = \frac{2}{\ln\left(\frac{d}{d-1}\right)}.$$

(h) Recall that the exact resolution of the Ising model in dimension d = 2 gives  $k_{\rm B}T_{\rm c}/J = 2/\ln(1+\sqrt{2})$ . Numerical methods calculate exact values for  $k_{\rm B}T_{\rm c}/J$  given in Table 1. Fill in the table and discuss the obtained results.

Table 1: Values of  $k_{\rm B}T_{\rm c}/J$  as a function of d for a hypercubic lattice.

d	Exact value	Mean-Field prediction	Bethe-Peierls prediction
1			
2			
3	4,54545		
4	20/3		

#### Mathematical Formulæ

- $\tanh x \simeq x x^3/3$  for  $x \ll 1$
- $\tanh^{-1} x = \frac{1}{2} \ln \left( \frac{1+x}{1-x} \right).$
- $\sum_{n=0}^{N} \frac{N!}{n!(N-n)!} x^n y^{N-n} = (x+y)^N$
- $\sum_{n=0}^{N} \frac{N!}{n!(N-n)!} n x^n y^{N-n} = Nx (x+y)^{N-1}$